Receipt date: 10/01/2008

					Docket Number 01-1055			Application Number 09/967,272				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicants Carlton Bartels et al.								
STATEMENT BY APPLICANT								Group Art Unit 3691				
U.S. PATENT DOCUMENTS												
Examiner Initials	Cite No.	Document Number	Date		Name of Patentee		C	lass	Sub-Class	Filing Date		
/D.K./		2004/0088179 A1	05/06/0	04	Cogen et al.							
				_			-					
				$\dashv$			+					
							_					
				-								
				$\dashv$			+					
				_			+					
				$\dashv$			+					
							$\perp$					
				$\dashv$								
				$\dashv$			+					
		FOI	REIGN	P.	ATENT DOC	UMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Date		Country		Ci	lass	Sub-Class	Translation		
/D.K./		WO 02/037433	05/10/	02	PCT							
				_			-					
		NON PA	L TENT	Lľ	TERATURE	DOCUME	NTS			-		
Possesion	Circ											
Examiner Initials	Cite No.	Author, Title, date, pages, volum				-						
/D.K./		Copy of U.S. Patent Application Serial No. 09/967,375, entitled "Systems and Methods for Electronic Trading of Carbon Dioxide Equivalent Emission Reductions", filed September 28, 2001 in the name of Carlton Bartels et al., (Attorney Docket No. 01-1039)										
/D.K./		Copy of U.S. Patent Application Serial No. 11/204,825, entitled "Systems and Methods for Electronic Trading of Carbon Dioxide Equivalent Emission Reductions", filed August 16, 2005 in the name of Carlton Bartels et al., (Attomy Docket No. 0.1-1039-1)										
Examiner Signature	/Daniel Kesack/ Date Considered 10/21/2008											

Receipt date: 10/01/2008

Examiner

Signature

/Daniel Kesack/

ipi dai	0. 10	10172000		03007272 0710:0					
TNI	CODM	TION DICCLOSURE	Docket Number 01-1055	Application Number 09/967,272					
		ATION DISCLOSURE ENT BY APPLICANT	Applicants Carlton Bartels et al.						
			Filing Date September 28, 2001	Group Art Unit 3691					
		NON PATEN	NT LITERATURE DO	CUMENTS					
Examiner Initials	Cite No.	Author, Title, date, pages, volume, pub	lisher, city and/or country where published						
/D.K./		Copy of U.S. Patent Application Serial No. 10/824,954, entitled "Systems and Methods for Trading Emission Reduction Benefits", filed April 14, 2004 in the name of Stephen Drummond et al., (Attorney Docket No. 03-1077)							
/D.K./		USPTO Office Action for Application No. 10/824,954, January 23, 2008, 35 pp.							
/D.K./		USPTO Office Action for Application No. 10/824,954, September 3, 2008, 11 pp.							
/D.K./		USPTO Office Action for Application No. 09/967,375, November 7, 2003, 7 pp.							
/D.K./		USPTO Office Action for Application No. 09/967,375, May 18, 2004, 6 pp.							
/D.K./		USPTO Office Action for Application No. 09/967,375, September 28, 2005, 7 pp.							
/D.K./		USPTO Office Action for Application No. 09/967,375, March 9, 2006, 7 pp.							
/D.K./		USPTO Examiner Interview Summary Record, for Application No. 09/967,375, May 11, 2006, 3 pp.							
/D.K./		USPTO Examiner Interview Summary Record, for Application No. 09/967,375, December 13, 2005, 2 pp.							
/D.K./		EIN Publishing Daily Newsle	etter "Global Warming Toda	ay", dated October 26, 2000.					

Date Considered

10/21/2008